

RECEIVED  
SECRETARY OF THE SENATE  
PUBLIC RECORDS  
2019 OCT 29 PM 3:59

**SUBMIT DIRECTLY TO THE OFFICE OF PUBLIC RECORDS IN 232 HART BUILDING**

Purpose of Amendment (describe the reason for amending original submission): Typo on original certification, and wrong list submitted (invitees rather than actual travelers)

(Signature of Traveler)

## PRIVATE SPONSOR TRAVEL CERTIFICATION FORM

This form must be completed by any private entity offering to provide travel or reimbursement for travel to Senate Members, officers, or employees (Senate Rule 35, clause 2). Each sponsor of a fact-finding trip must sign the completed form. The trip sponsor(s) must provide a copy of the completed form to each invited Senate traveler, who will then forward it to the Ethics Committee with any other required materials. The trip sponsor(s) should NOT submit the form directly to the Ethics Committee. Please consult the accompanying instructions for more detailed definitions and other key information.

The Senate Member, officer, or employee **MUST** also provide a copy of this form, along with the appropriate travel authorization and reimbursement form, to the Office of Public Records (OPR), Room 232 of the Hart Building, within thirty (30) days after the travel is completed.

1. Sponsor(s) of the trip (please list all sponsors): Council on Foreign Relations (CFR)
2. Description of the trip: To provide congressional staff members participating in CFR's foreign policy study group an opportunity to visit CFR's headquarters in New York (see attachment.)
3. Dates of travel: Friday, September 27, 2019
4. Place of travel: New York, NY
5. Name and title of Senate invitees: See attached documentation
6. I certify that the trip fits one of the following categories:
  - ☒ (A) The sponsor(s) are not registered lobbyists or agents of a foreign principal and do not retain or employ registered lobbyists or agents of a foreign principal and no lobbyist or agents of a foreign principal will accompany the Member, officer, or employee *at any point* throughout the trip.
  - OR -
  - ☐ (B) The sponsor or sponsors are not registered lobbyists or agents of a foreign principal, but retain or employ one or more registered lobbyists or agents of a foreign principal and the trip meets the requirements of Senate Rule 35.2(a)(2)(A)(i) or (ii) (*see question 9*).
7. ☒ I certify that the trip will not be financed in any part by a registered lobbyist or agent of a foreign principal.
  - AND -
  - ☒ I certify that the sponsor or sponsors will not accept funds or in-kind contributions earmarked directly or indirectly for the purpose of financing this specific trip from a registered lobbyist or agent of a foreign principal or from a private entity that retains or employs one or more registered lobbyists or agents of a foreign principal.
8. I certify that:
  - ☒ The trip will not in any part be planned, organized, requested, or arranged by a registered lobbyist or agent of a foreign principal except for *de minimis* lobbyist involvement.
  - AND -
  - ☒ The traveler will not be accompanied on the trip by a registered lobbyist or agent of a foreign principal except as provided for by Committee regulations relating to lobbyist accompaniment (*see question 9*).

**9. USE ONLY IF YOU CHECKED QUESTION 6(B)**

**I certify that if the sponsor or sponsors retain or employ one or more registered lobbyists or agents of a foreign principal, one of the following scenarios applies:**

- ☐ (A) The trip is for attendance or participation in a one-day event (exclusive of travel time and one overnight stay) and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee *on any segment* of the trip.

- OR -

- ☐ (B) The trip is for attendance or participation in a one-day event (exclusive of travel time and two overnight stays) and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee *on any segment* of the trip (see questions 6 and 10).

- OR -

- ☐ (C) The trip is being sponsored only by an organization or organizations designated under § 501(c)(3) of the Internal Revenue Code of 1986 and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee *at any point* throughout the trip.

**10. USE ONLY IF YOU CHECKED QUESTION 9(B)**

**If the trip includes two overnight stays, please explain why the second night is practically required for Senate invitees to participate in the travel:**

---

---

---

---

11. ☒ An itinerary for the trip is attached to this form. I *certify* that the attached itinerary is a detailed (hour-by-hour), complete, and final itinerary for the trip.

- 12. Briefly describe the role of each sponsor in organizing and conducting the trip:**

**CFR is the only sponsor and organizer of the trip and is covering all associated costs.**

---

---

---

---

- 13. Briefly describe the stated mission of each sponsor and how the purpose of the trip relates to that mission:**

**CFR is an independent think tank and membership organization dedicated to being a resource for its**

members, government officials, and other interested citizens in order to help them better understand the world and the foreign policy challenges facing the United States.

- 14. Briefly describe each sponsor's prior history of sponsoring congressional trips:**

**CFR has hosted four similar trips to New York on September 25, 2015, September 19, 2016, September 15, 2017, and September 21, 2018.**

15. Briefly describe the educational activities performed by each sponsor (other than sponsoring congressional trips):

CFR hosts several different meeting series on Capitol Hill for members of Congress and senior congressional staff for the purpose of informing the policymaking community of the foreign policy and national security issues confronting the United States.

**16. Total Expenses for Each Participant:**

	Transportation Expenses	Lodging Expenses	Meal Expenses	Other Expenses
<input checked="" type="checkbox"/> Good Faith estimate  <input type="checkbox"/> Actual Amounts	Total cost: \$450  Rail Travel: \$400  Round-trip ground transportation to CFR office in NY: \$50	None provided	\$50	None provided

**17. State whether a) the trip involves an event that is arranged or organized *without regard* to congressional participation or b) the trip involves an event that is arranged or organized *specifically with regard* to congressional participation:**

**This trip is taking place with regard to congressional participation.**

**18. Reason for selecting the location of the event or trip**

**The Council on Foreign Relations is headquartered in New York, NY.**

19. Name and location of hotel or other lodging facility:

**No lodging provided.**

20. Reason(s) for selecting hotel or other lodging facility:

**N/A**

**21. Describe how the daily expenses for lodging, meals, and other expenses provided to trip participants compares to the maximum per diem rates for official Federal Government travel:**

**Meal costs are estimated to be far less than the \$74 per diem rate for this location. No lodging is provided.**

**22. Describe the type and class of transportation being provided. Indicate whether coach, business-class or first class transportation will be provided. If first-class fare is being provided, please explain why first-class travel is necessary:**

**Participant will travel from Washington, DC to New York, NY on Amtrak Acela (business class.)**

23. ☒ I represent that the travel expenses that will be paid for or reimbursed to Senate invitees do not include expenditures for recreational activities, alcohol, or entertainment (other than entertainment provided to all attendees as an integral part of the event, as permissible under Senate Rule 35).

24. List any entertainment that will be provided to, paid for, or reimbursed to Senate invitees and explain why the entertainment is an integral part of the event:

None provided

25. I hereby *certify* that the information contained herein is true, complete and correct. (For trips involving more than one sponsor, you *must* include a completed signature page for each additional sponsor):

**Signature of Travel Sponsor:**

**Name and Title:** Patrick C. Costello, Director, Washington External Affairs

**Name of Organization:** Council on Foreign Relations

**Address:** 1777 F Street NW, Washington, DC 20006

**Telephone Number:** 202-509-8458

**Fax Number: 202-509-8400**

**E-mail Address:** [pcostello@cfr.org](mailto:pcostello@cfr.org)

2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14

1. Elizabeth Banicki, Legislative Assistant, Office of Senator Dan Sullivan
2. Naz Durakoglu, Senior Policy Advisor, Office of Senator Jeanne Shaheen
3. Carol Fowler, Legislative Assistant, Office of Senator Ron Johnson
4. Christopher Griswold, Senior Policy Advisor, Senate Committee on Small Business and Entrepreneurship
5. Katherine Clark Harris, Minority Counsel, Senate Select Committee on Intelligence
6. R. Wayne Jones, National Security Advisor, Office of Senator Rob Portman
7. Brianne Miller, Senior Professional Staff Member, Senate Committee on Energy and Natural Resources
8. Sam Mulopulos, Legislative Assistant, Office of Senator Rob Portman
9. Nathan Paxton, Legislative Assistant, Office of Senator Angus King
10. Christopher Soghoian, Senior Technologist, Office of Senator Ron Wyden
11. Katherine Sutton, Professional Staff Member, Senate Committee on Armed Services

১৭  
১৮  
১৯  
২০  
২১  
২২  
২৩  
২৪  
২৫  
২৬  
২৭  
২৮  
২৯  
৩০

David M. Rubenstein  
*Chairman*

Blair Effron  
*Vice Chairman*

Jami Miscik  
*Vice Chairman*

Richard N. Haass  
*President*

*Board of Directors:*

Thad W. Allen  
Afsaneh M. Beschloss  
Mary McInnis Boies  
Sylvia Mathews Burwell  
Ash Carter  
Kenneth I. Chertoff  
Tony Coles  
David M. Cote  
Steven A. Denning  
Laurence D. Fink  
Stephen C. Freidheim  
Timothy F. Geithner  
James P. Gorman  
Richard N. Haass, *Chairman*  
Stephen J. Hadley  
Margaret (Peggy) Hamburg  
Jeh Charles Johnson  
James Manyika  
William H. McGraw  
Janet A. Napolitano  
Meghan L. O'Sullivan  
Eduardo J. Padrón  
John Paulson  
Charles Phillips  
Richard L. Plepler  
Laurene Powell Jobs  
L. Rafael Reif  
Cecilia Elena Rouse  
Frances Fragos Townes  
Margaret G. Warner  
Daniel H. Yergin  
Fareed Zakaria

*Emeritus and Honorary Directors:*

Madeleine K. Albright  
Leslie H. Gelb  
Maurice R. Greenberg  
Carla A. Hills  
Robert E. Rubin

Patrick C. Costello  
Director, Washington External Affairs  
Council on Foreign Relations